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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPEAL NO.

2004-0255

Before:

APJ BARRETT, LEVY, RUGGIERO

Application No.:

09/045,518

Appellant:

Van Luchene, Andrew S.

For:

METHOD AND APPARATUS FOR PROCESSING A SUPPLEMENT

PRODUCT SALE AT A POINT-OF-SALE TERMINAL

NEW GROUNDS OF REJECTION RAISED AT ORAL HEARING

At the oral hearing on May 6, 2004, a new ground of rejection was discussed, involving

(i) an interpretation of Eleftheriou that was not previously applied by the Examiner, as well as

(ii) motivations to combine / modify not previously asserted by the Examiner.

If applied as the basis for a Section 103(a) rejection, such rejection would be a new rejection since Appellant had no previous opportunity to address the merits on the record.

Specifically, a hypothetical was raised in which

- (i) the system of <u>Eleftheriou</u> would be modified to receive a rounding code (embodied as a software instruction) by receiving a reprogramming instruction;
  - (ii) this <u>Eleftheriou</u> system would round the maximum amount (not the purchase price) that is split from the purchase price and transferred to / from the "container" (*Contra* Examiner's Answer, p. 5);
  - (iii) <u>Fiorini's</u> rounding of a purchase price to the nearest nickel would be combined with <u>Eleftheriou's</u> rounding of the maximum amount that is split from the purchase price (*Contra* Examiner's Answer, p. 5); and
  - (iv) the motivation for such combination / modification would be to generally round purchase prices more flexibly (Contra Examiner's Answer, p. 6).

Respectfully submitted,

May 11, 2004

Dean Alderucci

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## Walker Digital Management, LLC

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on May 11, 2004.

Dated: May 11, 2004 By:

Veronika S. Leliever

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